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Fee Amendment Commissioner for Patents, Box 1450.	Alexandria VA at facsimile No. 702 972 0206

Date: October 5, 2004

William F. Busch

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ANDERSON, TIMOTHY

**APPLICATION NO.:** 

10/711,128

FILED:

AUGUST 26, 2004

For: COMPUTER-IMPLEMENTED ADAPTIVE MODULUAR RISK MANAGEMENT TRADING

SYSTEM FOR PROFESSIONAL EQUITY TRADERS

**EXAMINER:** 

**ART UNIT:** 

CONF. NO:

ATTY DOCKET: ASDC.P001.B

## PRELIMINARY AMENDMENT A

Mail Stop: Missing Parts Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

#### Dear Sir/Madam:

Please enter the following Preliminary Amendment before conducting any substantive examination of the following Patent Application. Changes to the claims begin on page 2, and the remarks begin on page 6.

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